Matter Due Diligence Risk Assessment Form

Matter:	**{** MERGEFIELD client_no **}****{** MERGEFIELD matter_no **}**
Fee Earner:	**{** MERGEFIELD CALCULATION_FEE_EARNER_DESCRIPTION **}**

{ IF **{** MERGEFIELD CLI_CO_ADD_INFO_CLI_IS_CO **}** <> "Yes" "

Client 1 Full Name:	**{** MERGEFIELD "CLI1_ADD_INFO_FW_CLI1_TITLE" \f" "**}{** MERGEFIELD "CLI1_ADD_INFO_FW_CLI1_FNAME" \f" "**}{** MERGEFIELD "CLI1_ADD_INFO_FW_CLI1_MNAME" \f" "**}{** MERGEFIELD "CLI1_ADD_INFO_FW_CLI1_SNAME" **}**

*For additional clients please see Client CDD Risk Assessment and Client CDD Verification Form(s)" "" ***}{*** IF**{** MERGEFIELD CLI_CO_ADD_INFO_CLI_IS_CO **}** = "Yes" "

Client Company Entity Full Name:	**{** MERGEFIELD LINKNAME_SURNAME_1 **}**

For detailed company/entity CDD please see Company CDD Verification Form " ""**}**

Nature and Purpose of Instructions

{ MERGEFIELD MAT_CDD_CDD_NAT_PUR_INS **}**

Risk Assessment

Matter Risk Assessed as: { MERGEFIELD MAT_CDD_CDD_MAT_RISK }
Reasons for your assessment:
{ IF **{** MERGEFIELD MAT_CDD_CDD_UN_COMP **}** = "Yes" "Please explain why this matter is unusually complex: " ""**}{** IF **{** MERGEFIELD MAT_CDD_CDD_UN_LARGE **}** = "Yes" "Please explain why this matter is unusually large: " ""**}{** IF **{** MERGEFIELD MAT_CDD_CDD_UN_PAT **}** = "Yes" "Please explain the unusual pattern of transactions: " ""**}{** IF **{** MERGEFIELD MAT_CDD_CDD_ECO_LEG_PUR **}** = "Yes" "Please explain why there is no apparent economic or legal purpose to this matter: " ""**}{** IF **{** MERGEFIELD MAT_CDD_CDD_ASS_ENG_WAL **}** = "No" "Please describe the Property or assets which are not situated in England or Wales: " ""**}{** IF **{** MERGEFIELD MAT_CDD_CDD_EXC_PI **}** = "Yes" "Please explain why the value of case likely to exceed the maximum professional indemnity cover: " ""**}**

Level of Due Diligence: { MERGEFIELD MAT_CDD_CDD_LEVEL_CDD }

Fee Earners Signature:	Date:
Supervisors Signature (If Needed):	Date: